

Examiner

PTO/SB/08A (01-01) Approved for use through 12/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE PATENT TRADEMARK OFFICE Under the Paperwork Reduction e required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Substitute for form 1449A/PTO 10/054225 **Application Number** RECEIVED INFORMATION DESC November 13, 2001 Filing Date STATEMENT BY Atkinson First Named Inventor SEP 1 6 2002 Art Unit (use as many sheets as necessary) To be assigned **Examiner Name** TECH CENTER 1 600/2900 1 of 1 Attorney Docket Number SJ-01-0022 Sheet OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Cite Examiner T² number(s) publisher city and/or country where published Initials No. Abstract # 1776 from the 40th Interscience Conference on antimicrobial Agents and Chemotherapy, AE1 Toronto, Canada, September, 2000 HAVARSTEIN, et al., "An unmodified heptadecapeptide pheromone induces competence for genetic AF1 Transformation in Streptococcus pneumoniae" PNAS, 92: 11140-11144, 1995 HAVARSTEIN, et al., "Identification of the streptococcal competence-pheromone receptor", Mole. AG1 Microbiology 21(4):863-869, 1996 MARTIN, et al., "Cross-regulation of competence pheromone production and export in the early control AH1 Of transformation in Streptococcus pneumoniae" Mol. Microbiology 38(4):867-878, 2000 V

Considered Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

O

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



Examiner

PTO/SB/08A (01-01)

Approved for use through 12/31/2002. OMB 0651-0031

U.S. Patent and Tr	ademark Office; U	.S. DEPARTMENT	OF COMME
red to respond to a collection	of information unless	it displays a valid OMB	control number.

PATENT TRADEMARK OFFICE
Under the Paperwork Reduction Att Complete if Known Substitute for form 1449A/PTO **Application Number** 10/054225 INFORMATION DISCLOSURE Filing Date November 13, 2001 STATEMENT BY APPLICANT **First Named Inventor** Atkinson Art Unit (use as many sheets as necessary) **Examiner Name** To be assigned Sheet 1 1 of Attorney Docket Number SJ-01-0022 OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Examiner Cite T² number(s) publisher city and/or country where published No. 1 Initials' NOVAK, R.B., et al., "Emergence of vancomycin tolerance in Streptococcus pneumoniae", AA1 Nature 399:590-593 (1999) NOVAK, R. et al., "Signal transduction by a death signal peptide: uncovering the mechanism of bacterial AB1 Killing by penicillin", Molec Cell 5:49-57 (2000) MCCULLERS, et al., "Isolation and characertization of vancomycin-tolerant streptococcus pneumoniae AC1 from the cerebrospinal fluid of a patient who developed recrudescent meningitis", J. Inf. Disease 181:369-373 (2000) NORMARK, et al., "Clinical isolates of streptococcus pneumoniae that exhibit tolerance of AD1 vancomycin", CID 32:552-558 (2001)

Considered Signature EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw iné through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.